

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date
21 May 2004 (21.05.2004)

PCT

(10) International Publication Number
WO 2004/041104 A1

(51) International Patent Classification⁷: A61C 1/00, (74) Agent: MODIANO, Guido; Modiano & Associati, Via Meravigli, 16, I-20123 Milano (IT).

(21) International Application Number: PCT/EP2003/012087

(22) International Filing Date: 30 October 2003 (30.10.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
MI2002A002332 4 November 2002 (04.11.2002) IT

(71) Applicant (for all designated States except US): UNIVERSITA' DEGLI STUDI DI PADOVA [IT/IT]; Via 8 Febbraio, 2, I-35122 Padova (IT).

(72) Inventors; and

(75) Inventors/Applicants (for US only): VILLORESI, Paolo [IT/IT]; Via Assisi, 1A, I-35143 Padova (IT). BONORA, Stefano [IT/IT]; Via Frigeri, 7, I-46047 Porto Mantovano (IT).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

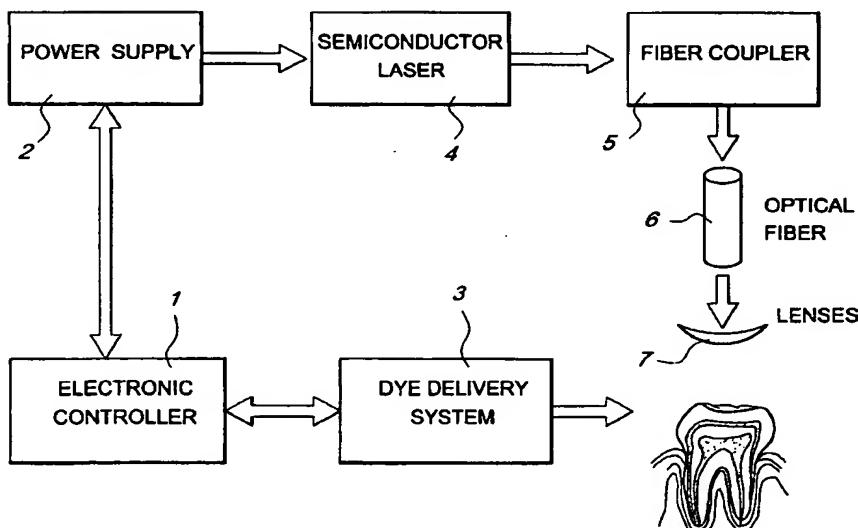
(84) Designated States (regional): ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: LASER APPARATUS FOR TREATING HARD TISSUES AND METHOD FOR USING THE APPARATUS



(57) Abstract: A semiconductor laser apparatus combined with a chromophore and a method for using them in treating hard tissues, such as for example dental tissue, in which elements (3) for applying a chromophore in the area of the tissue to be treated are coupled to a semiconductor laser (4), elements for conveying (5, 6) the laser light and for focusing (7) the laser light on the tissue to be treated also being coupled to the laser; the chromophores can be chosen among different chromophorous agents and must have a high coefficient of absorption at the wavelength of the laser chosen for the type of treatment and/or tissue to be treated.

WO 2004/041104 A1

LASER APPARATUS FOR TREATING HARD TISSUES AND METHOD FOR USING THE APPARATUS

Technical Field

The present invention relates to a semiconductor laser apparatus and to
5 a method for using it to treat hard tissues.

Background art

In dentistry it is often necessary to act on the "hard" tissues of the tooth, such as enamel and dentin, and on so-called "soft" tissues, such as for example gum tissue. In both cases, laser radiation has been applied widely
10 during the last decade because it is an almost painless tool in treating hard tissues and has an excellent cutting and cauterization power for soft tissues.

The use of laser has been proposed, through the years, as an alternative to conventional mechanical methods, in order to reduce the use of anesthetics, which have several contraindications, and the pain that
15 procedures of this kind can cause to the patient. Moreover, with adapted optical systems it is possible to focus the laser so as to couple its radiation in an optical fiber. This allows to carry the laser light to the treatment spot. Here, if appropriate, by means of a further optical system it is possible to refocus the laser beam on a very small area that is compatible with the
20 dimensional ranges involved in this kind of procedure and therefore act with greater precision on the surface to be treated.

The techniques developed to apply a laser to hard and soft tissues of teeth are numerous, since in the two cases there are differences in the optical characteristics (coefficient of absorption and diffusion as a function of
25 wavelength) and in the physical characteristics (heat conductivity, vascularization, distribution of the nervous and muscle system), and this accordingly constrains the type of operation to be performed (cutting, suture, reduction of gum masses, removal of carious tissue, modeling of the tooth to apply implants or prostheses, et cetera).

30 In the case of soft tissues, the first studies were conducted by using a

CO₂ laser operating at 10.6 μm in continuous mode. This laser was used to reduce mucous membranes and tissues of the gum and in procedures for treating periodontitis, i.e., the separation of the gum from the tooth, with the consequent formation of pockets that need to be eliminated. While this type 5 of application has proved to be valid thanks to the swiftness of the procedure, to its effectiveness in the suture of vascularized tissues and to its uniformity in treating large surfaces, it has a risk of degradation of the tissues caused by the heating induced by the continuous laser.

US-5,020,995 used, for example, a CO₂ laser in which the radiation has 10 a wavelength of 10.6 μm. This instrument was applied to procedures affecting both soft tissues and hard tissues of teeth. Its main drawback is due on the one hand to the increase in the local temperature of the tissue in case of irradiation with high-energy, short-duration pulses and on the other hand to the heat propagation that occurs if the energy is reduced and the 15 application time is increased.

Another drawback is also due to the fact that the radiation emitted by a CO₂ laser is absorbed by water to a large extent, with the result that its power to produce an incision in enamel and dentin is therefore limited. To obviate these drawbacks, it is necessary to resort to several technical refinements that 20 relate to the energy level used and to the duration and frequency of the irradiation, and this demonstrates that this method depends on operating conditions that are clearly defined and therefore extremely limited.

Hard tissues require actions mostly of the ablative type, both to eliminate carious tissues and to remodel the shape of the tooth with the 25 prospect of applying prostheses. The study and understanding of the thermal and optical properties of the components of the tooth, enamel and dentin, has reached a less advanced stage than that of soft tissue. Some aspects of the propagation of light and heat inside the tooth are in fact very complex. This is linked to the structural anisotropy of the tooth, which is formed to a large 30 extent by radially orientated hydroxyapatite crystals.

The presence of nerve endings, blood vessels and fibroblasts and odontoblasts in the pulp chamber makes the tooth sensitive to the overheating produced during the procedure. Accordingly, irradiation with high-power pulses, required in order to induce tissue ablation, must be 5 limited in time, so as to allow the action of cooling systems that keep the pulp chamber at a tolerable temperature.

Several kinds of laser have been used for this type of procedure. CO₂, excimer and neodymium in YAG (Nd:YAG) lasers were used initially. Considerable progress was achieved later by introducing lasers of the erbium 10 in YAG or YSGG type (Er:YAG operating at 2.94 μm and Er:YSGG operating at 2.79 μm).

US-5,554,029 and US-5,456,603 use Nd:YAG and Er:YAG lasers to eliminate dental caries. The use of these instruments is combined with the use of dyes to be applied to the carious part of the tooth in order to increase 15 its surface absorption, optimize its energy and thus allow to treat selectively the part to be removed.

These systems have the limitation of being very complicated in operation; moreover, since they are based on the principle of optical pumping of the active medium, their size is considerable and their efficiency 20 is poor.

US-6,325,791 uses a diode laser in the controlled process of polymerization of polymeric composite materials used in dental surgery.

This system also uses a dye that is applied to the polymerizing material in order to match the wavelength of the laser light to the maximum 25 absorption of said substance and achieve its polymerization starting from its innermost layers.

The advantage of this system is the simplified structure and easier handling of the diode laser with respect to a CO₂ laser or to a laser of the Nd:YAG or Er:YAG type considered above.

30 However, the range of wavelengths available with this source is limited,

and this prevents use of this system in procedures on tooth tissue, since the local maximum of the absorption of this tissue, which is around $3 \mu\text{m}$, cannot be used. The instrument is therefore limited to polymerization of the applied composite materials and is not applied in the ablation of hard surfaces of
5 teeth.

Finally, it should also be noted that problems similar to the ones noted above for the dental sector can also occur in other fields of surgery, when it is necessary to act in order to treat other hard tissues, such as for example bones.

10 **Disclosure of the invention**

The aim of the present invention is to provide a method that uses the radiation of a semiconductor or diode laser to treat hard tissues, such as for example the surfaces of teeth or bones, in which absorption of the laser radiation by the tissue is sufficient and limited to the surface of the tissue to
15 be treated, so as to not allow said radiation to penetrate to the interior, consequently causing pain and/or degradation of sensitive biological tissues.

Within this aim, an object of the invention is to provide an apparatus for providing the method described above that is easy to handle and compact but at the same time reliable and highly efficient.

20 Another object of the invention is to limit the high costs entailed by the technologies of the prior art.

This aim and these and other objects that will become better apparent hereinafter are achieved by the method according to claim 1 and by the apparatus according to claim 8.

25 **Brief Description of the Drawings**

Further characteristics and advantages of the present invention will become better apparent hereinafter from the following detailed description thereof, taken with the accompanying drawing, wherein the only figure is a block diagram of the apparatus of the invention.

Ways of carrying out the invention

The advantages provided by the use of a semiconductor or diode laser are several. First of all, as regards its dimensions, as a whole the diode laser can occupy a volume that is approximately 10 times smaller and can be 5 approximately 5 times lighter than a laser having a conventional architecture (erbium in YAG).

These characteristics of compactness and low weight make it easy to carry, and therefore a single device can be used in all sanitary or home environments in which the physician can work. This advantage is combined 10 with a higher electrooptical efficiency (equal to approximately 30%), which reduces significantly the consumption of electric power and the need for cooling.

Moreover, suppliers guarantee laser diodes for approximately 10 billion pulses, equivalent to an operating life of the device of approximately 8 years.

15 Crystal lasers instead require maintenance over a period ranging from 1 to 3 years to replace the lamp and the crystal, for realignment, et cetera.

The research that has been conducted shows that by combining the use of a diode laser of adequate power with a chromophore that has a high absorption coefficient at the wavelength of the emitted laser radiation, it 20 becomes possible to cut hard tissues even by operating with a laser at wavelengths for which the tissue has limited absorption. To make this process effective, the radiation must exceed a given fluence threshold on the surface of the tissue to be treated. This has provided the condition required to allow use of a semiconductor laser for this purpose. Moreover, this allows 25 an enormous simplification in operation with respect to conventional solid-state lasers, such as lasers used in the prior art. The architecture of a semiconductor laser is in fact very simple and is composed of a small number of elements: a high-current pulse source, a low-voltage power source, a focusing system and an adapted cooling system. A conventional 30 laser instead consists of medium-voltage power sections, high-voltage lamp

ignition sections, and an optical resonator, an active medium and the corresponding cooling systems.

Another advantage of diode lasers, moreover, is their operating efficiency. The typical efficiency of a semiconductor laser is in fact higher than that of optical-pumping lasers by a factor that varies from 5 to 10. Moreover, although the current cost of semiconductor power lasers is high, a semiconductor laser system, for example for dental use, of the type according to the invention is already cheaper than the conventional alternative. The enormous prospect of growth of the semiconductor diode market tends to indicate that this convenience can only increase over time.

Another advantage of the system, moreover, is constituted by the extremely limited dimensions of laser diode sources, which allow to accommodate the source within a handpiece held in the surgeon's hand.

The continuing evolution in the field of semiconductor lasers and in their miniaturization in fact allows to consider technical solutions in which a laser light conveyance system, using optical guiding means such as for example optical fibers, is not required, the laser light beam being instead generated on the spot inside the handpiece that contains said laser. In this case, focusing on the area of the tissue to be treated is also direct, without beam guiding means.

The method for treating hard tissues according to the invention comprises the following steps, which are explained in detail hereinafter:

- generating a radiation from a semiconductor laser source;
- applying a chromophorous agent with high absorption at the wavelength of the laser to the region of the tissue to be treated, so as to have predominant absorption at the surface;
- focusing the radiation on the surface of the tissue by means of an adapted optical system, such as to exceed the fluence threshold;
- exceeding a fluence threshold of the laser radiation as a function of the tissue to be treated.

The apparatus that allows to provide this method therefore comprises:

- a system for applying a chromophorous agent to the surface of the tissue;
- a laser light source that contains at least one semiconductor laser;
- an optical system for focusing the laser beam on the surface to be treated.

5 As shown in the figure, which is provided in order to exemplify a possible way of carrying out the invention, by using a dye delivery system 3, such as for example an aerosol of the dye in the liquid phase, the chromophorous agent is applied to the surface of the tooth continuously. The system allows to control the delivery of the dye by means of an electronic
10 controller 1 (PLC), which is connected to a power supply 2 (diode driver), which regulates the pulses of the emitted radiation. The quantity and concentration of the substance vary according to the type of tissue to be treated, to the operation to be performed, and to the necessary cooling action aimed at preventing degeneration of sensitive tissues.

15 The dyes that are applied in the present invention can in fact be chosen among different chromophorous agents, such as for example tricarbocyanines such as indocyanine green, black pigments such as India ink, Sudan Black or graphite and the many variations of methyl dye, from deep blue to violet and of course all equivalent compounds.

20 The key feature of the chromophorous agent consists in that it must have a high coefficient of absorption at the wavelength emitted by the laser diodes, so as to allow its absorption during application. Once the chromophore and its concentration have been selected according to this criterion, its application simultaneously with laser irradiation, capable of
25 providing an energy density that is higher than the ablation threshold, allows to act effectively on hard tissues. The method accordingly allows to act like a scalpel and continuously.

30 The laser radiation used is generated by a system that comprises at least one semiconductor laser 4, and said system must have an overall power level of more than 100 W in pulsed operating mode. The duration of the pulses

can vary between 10 and 50,000 μ s.

The repetition rate, if the cutting of the surface to be treated must be continuous, is higher than 10 Hz. As an alternative, the system can operate by single burst or with a low repetition rate.

5 The wavelength of the emitted radiation can vary in a range comprised between 600 and 1000 nm, more preferably between 800 and 980 nm.

At this point, the radiation can be sent to an optical fiber 6 by means of a fiber coupler 5. This allows to convey the laser radiation to the handpiece held by the surgeon. The diameter of the optical fiber 6 varies between 5 and

10 2000 μ m. The optical beam is concentrated more effectively for an optical fiber diameter comprised between 400 and 600 μ m.

In order to focus the laser radiation, at the end of the optical fiber 6 an adapted optical system, such as for example lenses or mirrors 7, is provided. These allow to focus the beam on the tissue, obtaining a reduced impact

15 surface that is able to exceed the ablation threshold. For example, the laser spot can vary between 300 and 500 μ m.

The energy of a laser pulse in focused conditions is defined by the relation

$$E_L = P_L \cdot t_L$$

20 where P_L is the power of the laser and t_L is the duration of the pulse. The resulting energy density, also termed fluence, is

$$F_L = E_L / S$$

where S is the surface struck by the pulse in focused conditions. The fluence threshold that needs to be exceeded in order to cut into a hard tissue is of course higher than the threshold to be used in the case of a soft tissue.

This, combined with the application of the dye, allows to act with the same instrument and during the same treatment on tissues that have different constitution and composition, be they healthy or altered, without incurring in the drawback of increase in internal temperature and consequent alteration of 30 the nearby tissues. The best results can be achieved by varying the fluence in

a range comprised between 20 and 100 J/cm².

The described apparatus according to the invention, moreover, can also comprise a system for cooling the surface to be treated. If the chromophore is applied in liquid form, said cooling occurs by means of the application of the 5 chromophore.

Some examples related to the application of the instrument are described hereafter; they must not be understood as a limitation of the technical characteristics of the invention and therefore must be considered as intended merely for exemplification.

10 A 1% indocyanine green solution was applied to the surface of a healthy tooth by means of an aerosol. By using a system composed of two diode lasers with a power level $P = 140$ W and with a wavelength equal to 808 nm, the radiation was conveyed within an optical fiber with a diameter of 600 μm . The radiation in output from the fiber was focused by means of 15 two microlenses on a diameter of approximately 0.4 mm.

The surface of the tooth was struck with 1-ms pulses at the frequency of 20 Hz and at 85% of maximum power, which corresponded to a fluence of 80 J/cm². With this system it was possible to cut into the tissues of dentin and of tooth enamel.

20 It is evident to the person skilled in the art that the apparatus and method described according to the invention can be applied in several fields of medicine, and in particular that with the appropriate technical refinements entailed by the tissue to be treated, which arise from the knowledge and practice in the field, the described apparatus and method can be used not 25 only in dentistry, as described extensively, but also more generally in the surgery of hard tissues (such as for example bones) when it is necessary to treat these tissues precisely and without damaging other more sensitive tissues and without causing pain.

The disclosures in Italian Patent Application No. MI2002A002332 from 30 which this application claims priority are incorporated herein by reference.

CLAIMS

1. A method for treating hard tissues, comprising the steps of:
 - generating a radiation from a semiconductor laser source;
 - applying a chromophorous agent with high absorption at the wavelength of the laser to a region of a tissue to be treated, so as to have predominant absorption at a surface of the tissue;
 - focusing the radiation on the surface of the tissue by means of an adapted optical system;
 - exceeding a fluence threshold of the laser radiation as a function of the tissue to be treated.
2. The method according to claim 1, characterized in that the fluence threshold of the laser radiation is between 20 and 100 J/cm².
3. The method according to claim 1, characterized in that the duration of the pulse is comprised between 10 and 50,000 µs.
4. The method according to claim 1, characterized in that the laser radiation is conveyed by means of a guided optical system.
5. The method according to claim 4, characterized in that the guided optical system is an optical fiber.
6. The method according to claim 1, characterized in that the focusing of the radiation in output from the optical fiber on the surface of the tissue is achieved by means of a system of lenses or mirrors.
7. The method according to claim 1, characterized in that the chromophorous agent is sprayed onto the tissue by means of an aerosol.
8. An apparatus for treating hard tissues, comprising:
 - a system for applying a chromophorous agent to a surface of a tissue;
 - a source of laser light that contains at least one semiconductor laser;
 - an optical system for focusing the laser light on the surface to be treated;characterized in that the fluence threshold of the generated laser radiation is variable.
9. The apparatus according to claim 8, characterized in that the fluence

threshold of the laser radiation is comprised between 20 and 100 J/cm².

10. The apparatus according to claim 8, characterized in that the duration of the pulse is comprised between 10 and 50,000 µs.

11. The apparatus according to claim 8, characterized in that the laser
5 radiation is conveyed by means of a guided optical system.

12. The apparatus according to claim 11, characterized in that the guided optical system is an optical fiber.

13. The apparatus according to claim 12, characterized in that the optical fiber has a diameter of 5 to 2000 µm.

1/1

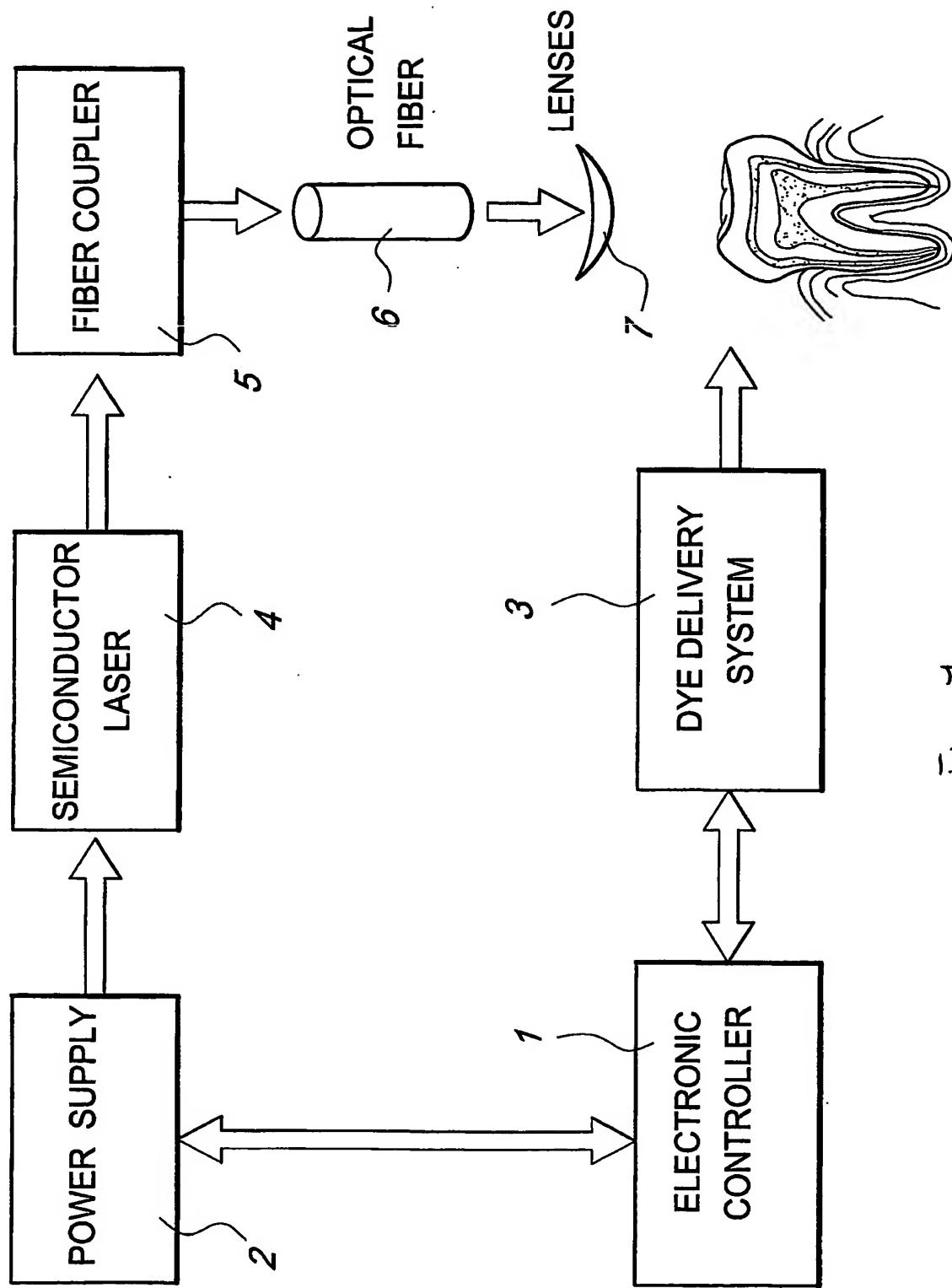


Fig. 1

INTERNATIONAL SEARCH REPORT

Intern. Application No
PCT/EP 03/12087

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A61C1/00 A61B18/22

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 A61C A61B A61N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 00/62694 A (ALTSCHULER GREGORY) 26 October 2000 (2000-10-26) page 10, line 13 - line 26 page 13, line 10 - line 18	1-13
Y	WO 02/42719 A (DOMANKEVITZ YACOV ; ANDERSON R ROX (US); GEN HOSPITAL (US)) 30 May 2002 (2002-05-30) page 4, line 25 - line 31 page 14, line 14 - line 15 page 24, line 20 - line 26	1-13
Y	US 6 156 030 A (NEEV JOSEPH) 5 December 2000 (2000-12-05) the whole document	1-13

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the International filing date
- *L* document which may throw doubts on priority, claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the International filing date but later than the priority date claimed

- *T* later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed Invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed Invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

Date of the actual completion of the International search

12 February 2004

Date of mailing of the International search report

25/02/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.
Fax: (+31-70) 340-3016

Authorized officer

Salvatore, C

INTERNATIONAL SEARCH REPORT

Internat	pplication No
PCT/EP 03/12087	

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 99/49937 A (GEN HOSPITAL CORP ; PALOMAR MEDICAL TECHNOLOGIES I (US)) 7 October 1999 (1999-10-07) page 15, line 4 – line 15 page 17, line 31 – page 18, line 5 page 19, line 25 – page 20, line 2	1-5,7-13
Y	US 5 456 603 A (KOWALYK KENNETH ET AL) 10 October 1995 (1995-10-10) column 5, line 6 – line 34	1-13
Y	US 4 951 663 A (L'ESPERANCE JR FRANCIS A) 28 August 1990 (1990-08-28) column 6, line 39 – column 7, line 30	1-13
Y	US 5 713 891 A (POPPAS DIX P) 3 February 1998 (1998-02-03) column 5, line 20 – line 37	1-13

INTERNATIONAL SEARCH REPORT

Information on patent family members

Intern	cation No
PCT/EP 03/12087	

Patent document cited in search report	Publication date		Patent family member(s)	Publication date
WO 0062694	A 26-10-2000	AU EP WO	4644900 A 1171051 A1 0062694 A1	02-11-2000 16-01-2002 26-10-2000
WO 0242719	A 30-05-2002	US AU CA EP WO	6529543 B1 3933102 A 2428001 A1 1344288 A2 0242719 A2	04-03-2003 03-06-2002 30-05-2002 17-09-2003 30-05-2002
US 6156030	A 05-12-2000	AU EP WO US	7496998 A 0986335 A1 9855035 A1 6482199 B1	21-12-1998 22-03-2000 10-12-1998 19-11-2002
WO 9949937	A 07-10-1999	AU CA EP WO US US	3363999 A 2326120 A1 1066086 A1 9949937 A1 6605080 B1 2003199859 A1	18-10-1999 07-10-1999 10-01-2001 07-10-1999 12-08-2003 23-10-2003
US 5456603	A 10-10-1995	US	5281141 A	25-01-1994
US 4951663	A 28-08-1990	US EP	4931053 A 0421030 A1	05-06-1990 10-04-1991
US 5713891	A 03-02-1998	AU CA EP JP WO	5971596 A 2222633 A1 0830087 A2 11504835 T 9638093 A2	18-12-1996 05-12-1996 25-03-1998 11-05-1999 05-12-1996